

UNITED STATES PATENT AND TRADEMARK OFFICE

OTSU et al.

Group Art:

UNKNOWN

Serial No.:

10/565,974

Docket:

10873.1857USWO

Filed:

January 26, 2006

Title:

REMEDY FOR CARDIAC FAILURE CONTAINING ASK1 INHIBITOR AS ACTIVE INGREDIENT AND METHOD FOR SCREENING THE SAME

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-

1450 on April 26. By:

Name: Ralynn Wilhelm

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following papers are transmitted herewith:

Transmittal Sheet in duplicate containing Certificate of Mailing

Information Disclosure Statement, Form 1449, 19 references

International Preliminary Report on Patentability - Form PCT/IPEA/409

Return Postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402 612.455-3800

By: ___

Name: Douglas P. Mueller

Reg. No.: 30,300 Initials: DPM/rkw



10/565,974

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

OTSU et al.

Examiner:

Unknown

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Ву:

Name: Ralynn Wilhelm

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. §1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign document or other information listed on the enclosed Form 1449 is provided. As this application was filed after June 30, 2003, copies of the cited U.S. Patent Documents are not included. Copies of the references from the ISR are believed to be available to the Examiner and thus are not provided.

A concise explanation of the relevance of each non-English language document or other information is a follows (37 C.F.R. §(a)(3)):

An English abstract has been provided for WO 02/38179. JP 10-000093 is corresponding with US 6,194,187.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER & LARSON, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402-0902 (612) 455 3800

Douglas P. Mueller

Reg. No. 30,300

Dated: April 26, 2006

DPM/rkw

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IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 10873.1857USWO Application Number:

10/565,974

Applicant: OTSU et al.

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U.S. PATENT DOCUMENTS SUBCLASS FILING DATE **EXAMINER** DOCUMENT NO. DATE NAME **CLASS** IF APPROPRIATE INITIAL February 2001 Miyazono et al. US 6,194,187 US 2003/0100049 May 2003 Guo et al. FOREIGN PATENT DOCUMENTS TRANSLATION **SUBCLASS** DOCUMENT NO. DATE COUNTRY CLASS NO YES Abstract WO 02/38179 May 2005 **WIPO JAPAN** January 1998 JP 10-000093 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) PATTEN, R. et al., "Ventricular remodeling and its prevention in the treatment of heart failure", Current Opinion in Cardiology", Vol. 13, pp. 162-167 (1998) OLIVETTI, G. et al, "Acute Myocardial Infarction in Humans is Associated with Activation of Programmed Myocyte Cell Death in the Surviving Portion of the Heart", J Mol Cell Cardiol, Vol. 28, pp. 2005-2016 (1996) CHENG, W. et al., "Programmed Myocyte Cell Death Affects the Viable Myocardium after Infarction in Rats", Experimental Cell Research, Vol. 226, pp. 316-327 (1996) HIROTA, H. et al., "Loss of a gp130 Cardiac Muscle Cell Survival Pathway Is a Critical Event in the Onset of Heart Failure during Biomechanical Stress", Cell. Vol. 97, pp. 189-198 (1999) ICHIJO, H., et al., "Induction of Apoptosis by ASK1, a Mammalian MAPKKK THAT Activates SAPK/JNK and p38 Signaling Pathways", Science, Vol. 275, pp. 90-94 (1997) SAITOH, M., et al., "Mammalian thioredoxin is a direct inhibitor of apoptosis signal-regulating kinase (ASK) 1", The EMBO Journal, Vol. 17, No. 9, pp. 2596-2606 (1998) TOBIUME, K., et al., "ASK1 is required for sustained activations of JNK/p38 MAP kinases and apoptosis", EMBO Reports, Vol. 2, No. 3, pp.222-228 (2001) NAKAYAMA, H., et al., "Cardiac-specific overexpression of a high Ca2+ affinity mutant of SERCA2a attenuates

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DATE CONSIDERED

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

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FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: 10873.1857USWO	Application Number: 10/565,974
IN AN APPLICATION	Applicant: OTSU et al.	
(Use several sheets if necessary)	Filing Date: January 26, 2006	Group Art Unit: unknown

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
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